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Features for Cross Spectral Image Matching: A Survey

Maulisa Oktiana[1], Fitri Arnia[2], Yuwaldi Away[3], Khairul Munadi[4]
[1,2,3,4]Post Graduate Program in Engineering, Universitas Syiah Kuala, Indonesia
[2,3,4]Department of Electrical and Computer Engineering Universitas Syiah Kuala, Indonesia

Article Info	ABSTRACT
	In recent years, cross spectral matching has been gaining attention in various biometric systems for identification and verification purposes. Cross spectral matching allows images taken under different electromagnetic spectrums to match each other. In cross spectral matching, one of the keys for successful matching is determined by the features used for representing an image. Therefore, the feature extraction step becomes an essential task. Researchers have improved matching accuracy by developing robust features. This paper presents most commonly selected features used in cross spectral matching. This survey covers basic concepts of cross spectral matching, visual and thermal features extraction, and state of the art descriptors. In the end, this paper provides a description of better feature selection methods in cross spectral matching.

Corresponding Author:

Khairul Munadi,
Department of Electrical and Computer Engineering,
Universitas Syiah Kuala,
Jalan Teuku Nyak Arief, Darussalam, Banda Aceh 23111, Indonesia
Email: khairul.munadi@unsyiah.ac.id

1. INTRODUCTION

Cross-spectral matching also known as cross-spectrum matching is a matching process between two images taken on different electromagnetic spectrum [1]. Typically, we cross-matched the thermal spectrum images with visible light (VL) images. The thermal spectrum consists of four sub-bands, i.e., Near Infrared (SWIR), Short Wave Infrared (SWIR), Medium Wave Infrared (MWIR), and Longwave IR (LWIR) [2]. The study in the cross-spectral domain increases rapidly in line with applications of biometric systems [3]. Cross-spectral matching is widely used for security, national ID programs, also for personal identification and authentication using iris and face recognition [4-7]. By using cross-spectral image matching scheme, the identification and authentication process becomes more accurate and efficient because it utilises the additional information contained in both different spectrum and wavelength [8].

The performance of cross-spectral matching is dependent on features that can represent information from VL and thermal images. VL and thermal images represent information from the same subject even though the visual appearance and structure of the two images are different. Therefore the most challenging task in cross-spectral image matching is how to choose a representative feature for both VL and thermal images [9]. Various features are employed in cross-spectral image matching with high recognition performance.

Trokielewicz [10] developed cross spectral mobile based verification using the Discrete Cosine Transform (DCT) and Gabor Wavelet features. DCT is used on Monro Iris Recognition Library (MIRLIN) software, whereas Gabor Wavelet is used on Open Source for IRIS (OSIRIS) software. DCT and Gabor wavelet features are suitable for cross spectral iris recognition with low Equal Error Rate (EER). Abdullah et al. [11] explored the Binarized Statistical Image Features (BSIF) to extract the statistical features from NIR and VL iris images. BSIF features able to represent the statistical properties of NIR and VL iris images appropriately with high iris recognition performance. Another interesting work was conducted by klare and

Jain [12] exploiting Histogram of Oriented Gradient (HOG) integrated with Local Binary Pattern (LBP) to describe the structure of the face in NIR and VL images. Experimental result showed that HOG and LBP have better performance in representing NIR and VIS images.

A survey on state of the art feature in cross-spectral image matching is carried out in this paper. We describe several features based on the representation of VL and thermal image features. The main contribution of this paper is to provide a brief description about features in cross-spectral image matching so that it can help in selecting the most suitable feature used in the face and iris recognition. This paper is organized as follows. Section 2 describes basic background concepts in cross-spectral matching and the feature extraction, such as the definition of cross-spectral image matching, and block diagram matching process in the cross-spectral domain. Section 3 reviews the properties and characteristics of the VL and the thermal image features. Also, how to extracts features from the VL image and the NIR images for cross spectral matching are described in section 3. Section 4 reviews the robust feature used in cross-spectral matching including the feature extraction process. Conclusions are summarized in section 5.

2.   CROSS SPECTRAL MATCHING

Cross spectral matching represents the ability to recognize the objects presented in two different modalities. Cross spectral matching is illustrated in Figure 1. First, pre-processing was applied to prepare an image for further processing. The pre-processing step comprises image cropping, photometric and geometric normalization, and restoration. Next step is feature extraction which is carried out by taking unique features of thermal and VL image by using certain descriptors. The results of this process are used as inputs to the matching step using matching or classification algorithms.
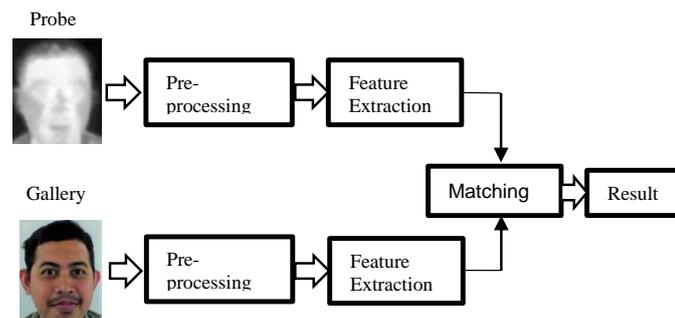

Figure 1. Cross spectral matching

3.   VISIBLE AND THERMAL IMAGES FEATURES

Image features are unique attributes of both numerical and alphanumerical that represent information about the content of a digital image. Numerical representation means any physical information of an image, such as heat, color, pressure, range, non visible wavelength, etc. replaced by numerical values that make it easier to be analyzed in various applications. In VL and thermal images, a feature can be either an image visual characteristics or interpretative response to the spatial, symbolic, semantic, or emotional image characteristics [13].

Feature extraction is an initial stage for all applications in image analysis. Feature extraction is known as the process of getting the unique characteristics of an image that distinguish one image with another. Feature extraction is used to indicate the relevant information of an image in completing the computational tasks associated with a particular application [14]. Feature extraction process for VL and thermal images are described in Figure 2.

In cross spectral matching, performance and matching accuracy depends on proper feature extraction. VL and thermal images are retrieved based on the value of the vector feature, therefore, feature selection and feature extraction process are important to be considered. Feature selection is defined as a process of selecting the best features that suitable for a particular application [15].

The feature selection based on the visual properties of the image is shown in Table 1. Low level is defined as the image features can be extracted directly without considering the spatial relationship. While high-level concerns the spatial information. The feature selection process must consider [16]:

a. Similarities between two matched images, if the images similar the feature distance between this image is small. We can confirm that if VL and thermal images are similar the distance of feature vector of those two image is small.
b. Computational task is not complex.
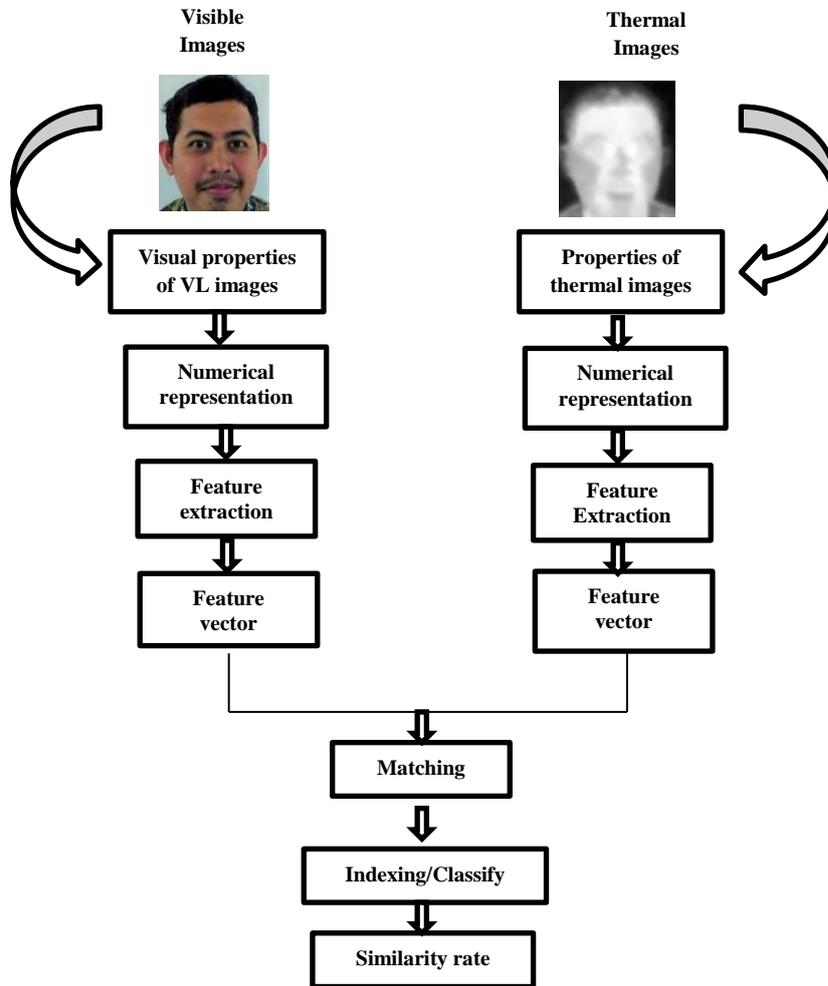c. Small feature dimensions do not affect the matching efficiency.

Figure 2. Representation of image features

Table 1. Visual properties of visible and thermal images

Low level	Middle level	High level
Color	Regions	Localization
Shape	Spatial relationship	Image categorization
Texture		Objects identification

d. The size of the dataset does not affect matching performance.
e. Robust against variation illuminations and geometric transformation.
    Figure 3 presents several methods of feature extraction classification for cross spectral matching. Feature extraction methods divided into:
a. Structural methods : this method identifies structural features of an image. Feature calculated based on topological and geometric properties [17].
b. Statistical methods : identifies statistical features of an image based on statistical distributions of pixels [17].

c.   Spectrum or transform based : feature calculated over spectral composition, low and high frequencies of an image. They can further be devided into : spatial, frequencies, and combined transform [14].

d.   Block based : based on images block. This method combines the important feature of image into one block, consequently size and number of blocks affect the resulting features [14].

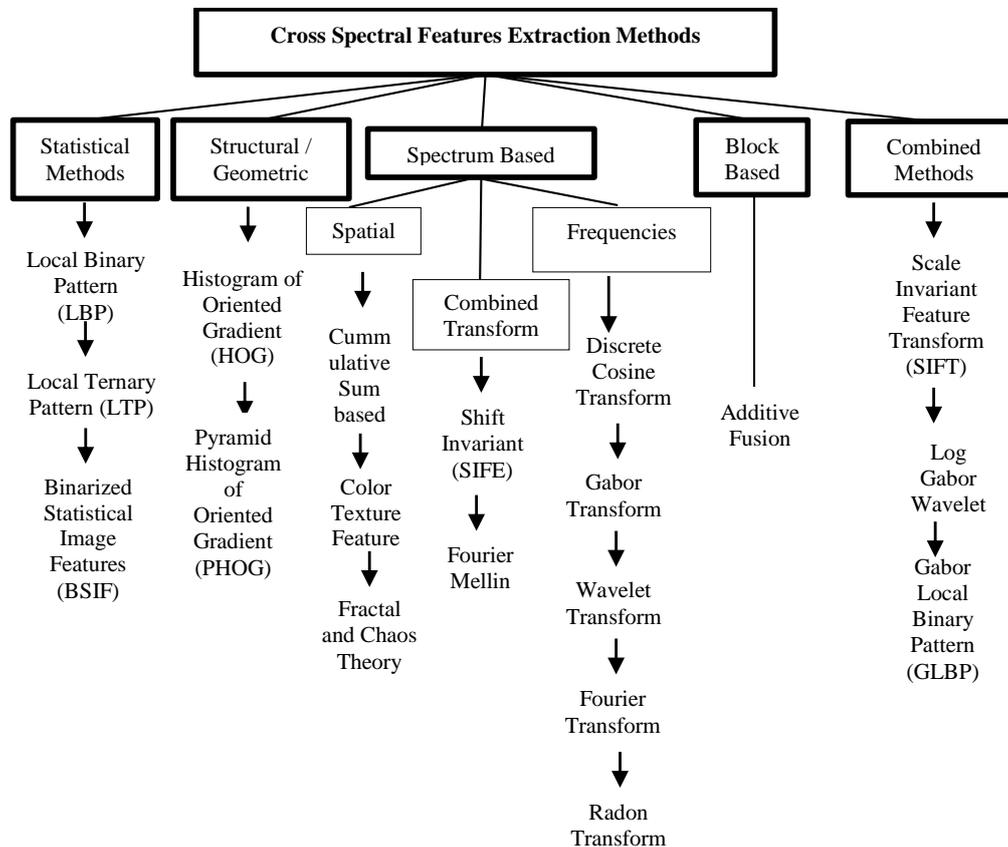e.   Combined methods : feature calculated by concatenated several individual methods to provide greater ability [14].

Figure 3. Classification of features extraction methods for cross spectral image

4. STATE OF THE ART FEATURE DESCRIPTORS

In this section, we present some popular descriptors used in cross spectral matching. These descriptors frequently use because robust in describing VL and thermal image. The descriptors commonly used in the cross spectral matching are presented in Table 2.

4.1. Difference of Gaussian Filter

The Difference of Gaussian (DoG) is a bandpass filter that used Gaussians filter to produce a normalized image [2]. DoG can suppress variations of noise and aliasing on the cross-spectral image caused by the frequency difference between VL and thermal images. Also, the DOG has a low computational complexity and popularly used in publications [18], [19].

DOG can accommodate difficult lighting condition by setting inner dan outer Gaussian values. For strong lighting variations datasets, the recognition gives the best result at outer Gaussian ≈ 2 pixels and up to about 4 for datasets less extreme lighting variations while the inner Gaussian is quite narrow at 1 pixel [20].

Band-pass filter is obtained by subtracting two Gaussians with different σ that eliminating all frequencies between frequencies cut-off of the two Gaussian. The image feature is located between this frequency band was extracted.

The DOG feature extractions consists of three stages [20]:

a.   The input image is convolving with two Gaussian kernels having differing standard deviations as shown in (1) to produce a blurred image.

b.   Next two blurred images version is subtracted each other to obtain a normalized image.

c. To construct a band-pass filter, the values of δ_0 must be smaller than δ_1 which set to (1) and (2) respectively

$$D(x,y|\delta_0\delta_1) = [G(x,y|\delta_0) - G(x,y|\delta_1)] * I(x,y) \qquad (1)$$

Where : $I(x,y)$: original image
$G(x,y)$: blurred image
σ : Gaussian kernel function defined as :

$$G(x,y|\sigma) = \frac{1}{\sqrt{2\pi\sigma^2}} e^{-(x^2+y^2)/2\sigma^2} \qquad (2)$$

Table 2. Various descriptors in cross spectral matching

Publications	Domain Modality	Applications	Feature Extraction Technique
[2]	NIR vs. VL	Iris recognition	Real valued -Log Gabor Phase
[4]	NIR vs. VL	Face recognition	LBP, DLBP
[10]	NIR vs. VL	Iris recognition	DCT, Gabor wavelet
[11]	NIR vs. VL	Iris recognition	Binarized Statistical Image Feature
[12]	NIR vs. VL	Face recognition	uniform LBP and HOG
[16]	NIR vs. VL	Face recognition	Log DoG LBP and Log DoG HOG
[19]	NIR vs. VL	Face recognition	DoG, Center Surround Divisive Normalization (CSDN), SIFT (Scale Invariant Feature Transform), and Multiscale Local Binary Pattern (MLBP)
[21]	NIR vs. VL, SWIR vs. VL	Face recognition	LBP and Generalized LBP (GLBP)
[22]	SWIR vs. VL	Face recognition	LBP and LTP
[23]	NIR vs. VL	Face recognition	Directional binary code
[24]	Thermal vs. VL	Face recognition	Pyramid Histogram of Oriented Gradient (PHOG), PSFIT
[25]	NIR vs. VL	Face recognition	Multi resolution LBP
[26]	MWIR vs. VL LWIR vs. VL	Face recognition	Canonical Correlation Analysis (CCA)
[27]	NIR vs. VL	Face recognition	Wavelet transform
[28]	NIR vs. VL	Face recognition	Sparse dictionary
[29]	SWIR vs. VL	Face recognition	Gabor filter, LBP, Simplified Weber Local Descriptor (SWLD) and GLBP
[30]	Thermal vs. VL	Face recognition	Deep Perceptual Mapping (DPM)
[31]	SWIR vs. VL	Face recognition	Contrast Limited Adaptive Histogram Equalization (CLAHE)
[32]	NIR vs. VL	Face recognition	Gaussian filter and SIFT

4.2. Local Binary Pattern

At 1996, Local Binary Pattern (LBP) was first introduced by Ojala et al. [33]. The LBP operator is a texture descriptor gray-scale invariant that analyzes the texture of an image based its texture spectrum called Texture Unit (TU).

Texture spectrum is a distribution of texture units happening in a region. Originally, LBP uses 3x3 neighborhood and generates 8-bit code based on the number of 8 pixels around the center pixel. Texture unit has 28=256 possibility histogram bin in describing the spatial pattern in a 3x3 neighborhood. They compute by multiplying the weights of the corresponding pixel with the values of the pixels in the previous threshold neighborhood. Then the pixel value of this neighborhood summed resulting the number of (169) texture unit [34]. The LBP operator defined as :

$$LBP(x_c, y_c) = \sum_{n=0}^{7} 2^n s(i_n - i_c) \qquad (3)$$

Where $s(u) = \begin{cases} 1, & u \geq 0 \\ 0, & otherwise \end{cases}$

c, n represents a central pixel and 8 neighbors of the central pixel respectively.

The 3x3 neighbor's pixel is thresholding by center pixel. If the neighbor's pixel greater than center pixel then the value is 1, and 0 otherwise. LBP operators further developed to accommodate variations of texture scaling into circular neighborhoods (8.1), (16.2) and (8.2).

4.3. Binary Statistical Image Feature

Binary Statistical Image Feature (BSIF) is a texture descriptor which is inspired by Local Binary pattern (LBP). BSIF uses a binary code to represent each pixel neighborhood in an image [35]. The binarized feature is generated by convolving image with a set of linear filter. Thus, the response of a linear filter is binarized with a threshold at zero. To construct the linear filter, independent component analysis (ICA) is used. The statistical independence of the filter responses is maximizing by ICA from a training set of natural image patches. The BSIF extraction process as described in [36]:

a. First, the response of the linear filter is constructed. Let X is image patch with size $l \ x \ l$ pixels. W_i is a linear filter and s_i represents the response of the filter.

$$s_i = \sum W_i(u,v)X(u,v) = W_i^T x \tag{4}$$

b. Binarized feature b_i is obtained by :

$$b_i = \begin{cases} 1, & if \ s_i > 0 \\ 0, & otherwise \end{cases} \tag{5}$$

4.4. Scale Invariant Feature Transform

The Scale Invariant Feature Transform (SIFT) is a robust descriptor that combinations of DoG interest region detector with a corresponding feature descriptor. SIFT encodes image information in a localized set of gradient orientation histograms. Thus, SIFT can accommodates illumination variations and small positional shift [37].

4.5. Histogram of Oriented Gradient

Histogram of Oriented Gradient (HOG) also known as histogram normalization due to its ability to normalize from local responses [38]. HOG is adopted human detection and used for object detection applications. HOG resistant of shadowing process, illumination invariant, and reliable to photometric variations. HOG does not have spatial image representation, therefore, HOG only computes the individual pixel energy regardless spatial distribution of an image. The feature extraction process [39]:

a. The image is divided into a small area called cell (8x8 pixels).
b. Calculate the magnitude of the pixel orientation.
c. Interpolation of result no 2 into histogram orientation bin 20 degrees.
d. Cells are grouped into overlapping blocks.
e. Then overlapping blocks are normalized.
f. Finally the normalized histograms are concatenated resulted in vector features.

4.6. Additive Fusion Block Based

Additive fusion block based proposed by Varadarajan et al. that used block-based extraction process [40]. Block based can maintain the optimum number of features that need to be extracted. Size and number of blocks affect the resulting features in this techniques. The more blocks, the less feature is extracted because of, the smaller block size causing the resultant block reduction. The ideal block sizes are 4,8, or 16 pixels. Feature extraction process consists:

a. Image is divided into individual blocks of size 4.8, or 16 pixels
b. Each block is applied Chirp Z-Transform (CZT) and Goertzel algorithm for preprocessing and image enhancement.
c. Individual blocks are summed to yield a single resultant block that contains the essential features of each block.
d. This resultant block is then become a vector feature.

4.7. Discrete Cosine Transform

Discrete Cosine Transform (DCT) transforms spatial image information into frequency domain. DCT consists cosine part of Fourier transform models [41]. DCT concentrates energy image into some DCT

coefficients (energy compaction). Signal energy is concentrated on large DCT coefficient magnitudes (called low frequencies) and located in the upper-left corner of the DCT array.

Low frequencies coefficients contain most of an essential image information. Therefore the original image can be reconstructed only by using low frequencies coefficients. While less important information is located in lower-right values of the DCT array (called high frequencies). This high-frequency coefficients can be discharged through the quantization process without significantly affecting the image quality [42-43]. DCT feature extraction process [44]:

a.   An original image is divided into 8x8 blocks.
b.   Calculated DCT coefficients using Eq. 4 resulted in DCT coefficient arrays.
c.   Quantized.the DCT coefficients.
d.   The value that is in upper-left corner of the DCT is used as a feature vector (low frequency).

$$(u,v) = \alpha(u)\alpha(v) \sum_{x=0}^{N-1} \sum_{y=0}^{M-1} cos\left[\frac{\pi.u}{2.N}(2x+1)\right] cos\left[\frac{\pi.u}{2.M}(2y+1)\right] f(x,y)$$

$$\alpha(u)\alpha(v) = \begin{cases} \sqrt{\frac{1}{N}}, & u,v = 0 \\ \sqrt{\frac{2}{N}}, & u,v \neq 0 \end{cases}$$

(6)

Where $u = 0,1,\dots,N-1$; $v = 0,1,\dots,M-1$ and $f(x,y)$ represents intensity of the pixel in row x and column y [45].

4.8. Radon Transform

Radon transform is one of the transformations that can enhance low-frequency components by describing the integral line of an image. Radon transform is turned rotation into translation and often used in face recognition. Radon feature extraction process [46] :

a.   Calculated radon space using Eq. 5.
b.   Discriminative information located in radon space which computes using projections for 0°–179° orientations.
c.   Calculated DCT of radon space to obtain feature frequencies.
d.   Concatenated 25% of DCT coefficients resulted in feature vector.

$$R(r,\theta)[f(x,y)] = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(x,y)\sigma(r - xcos\theta - ysin\theta)dxdy$$

(7)

Where $\sigma(.)$ represents Dirac function, perpendicular distance of a line from the origin represented r $\epsilon[-\infty,\infty]$ and Θ is an angle between X-axis and distance vector.

5.   CONCLUSIONS

The literature relevant cross spectral matching is overgrowing, and many researchers have proposed a cross spectral matching framework with better matching performance. This survey presents an overview feature of thermal and visible images. Also, brief descriptions the current state of the art feature extraction methods for cross spectral matching. The image features affect the performance of cross spectral matching. Therefore, the selection of feature extraction methods that suitable for an application becomes essential issue. Also, the researchers must consider visual properties of VL and thermal images.

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BIOGRAPHIES OF AUTHORS

Maulisa Oktiana received B.Eng degree in electrical engineering from Syiah Kuala University, Banda Aceh, Indonesia, in 2013. She is currently a doctoral student at Graduate Program of Engineering, Syiah Kuala University. Her research interests include image processing and computer vision.

Fitri Arnia received B. Eng degree from Universitas Sumatera Utara (USU), Medan in 1997. She finished her master and doctoral degree from Universsity of New South Wales (UNSW), Sydney, Australia and Tokyo Metropolitan University, Japan in 2004 and 2008 respectively. She has been with the Department of Electrical Engineering, Faculty of Engineering, Syiah Kuala University since 1999. Dr. Arnia was a visiting scholar in Tokyo Metropolitan University (TMU), Tokyo, Japan in 2013 and Suleyman Demirel University (SDU), Isparta, Turkey in 2017. She is a member of IEEE and APSIPA. Her research interests are signal, image and multimedia information processing.

Yuwaldi Away received his B. Eng degree in Electrical-Computer Engineering from Sepuluh Nopember Institute of Technology Surabaya, Indonesia in 1964, the M.Sc from Bandung Institute of Technology (ITB) Bandung, Indonesia. He obtained his Ph.D. degrees in computer technology from the National University of Malaysia. Since 1990, he joined Syiah Kuala University as a teaching staff, and from 2007 until now he has been appointed as a Professor in Electrical Engineering. His current research interest include microprocessor based system, embedded system, FGPA, optimation and visualization.

Khairul Munadi received the B.E. degree from Sepuluh Nopember Institute of Technology, Surabaya, Indonesia, in 1996, and the M.Eng. and Ph.D. degrees from Tokyo MetropolitanUniversity (TMU), Japan, in 2004 and 2007 respectively, all in electrical engineering. From1996 to 1999, he was with Alcatel Indonesia as a system engineer. Since 1999, he joined SyiahKuala University, Banda Aceh, Indonesia, as a lecturer at the Electrical Engineering Department.He was a visiting researcher at the Information and Communication Systems Engineering,Faculty of System Design, TMU, Japan, from March 2007 to March 2008. His research interests include multimedia signal processing and communications.